

Facility:
 Date:
 Surveyor:

Abuse, Neglect and Mistreatment Detection
 Intermediate Care Facility/Individuals with Intellectual Disabilities

Directions: The Abuse, Neglect, and Mistreatment Detection Form is a resource to be used during each survey as an aid for Task 2. While reviewing incident and/or accident reports and investigations, refer to this form. If necessary (e.g., the first time visiting a company or for clarification), review the facility’s policies and procedures to ensure they are written comprehensively to detect and prevent abuse and neglect using this form as a guide.

| |
|--|
| Item |
| Prevent: |
| <ul style="list-style-type: none"> • Clients are not subject to abuse by anyone: staff, consultants, volunteers, staff from other agencies, family members, legal guardians, friends, other clients, or themselves. <p>Abuse: may be physical, verbal, sexual, psychological, and may include threats of punishment, punishment, serious or suspicious injuries of unknown origin, and/or withholding food or hydration that contributes to a nutritionally adequate diet</p> |
| <ul style="list-style-type: none"> • The facility prohibits mistreatment, neglect, or abuse. Policies and procedures protect clients from mistreatment (exploitation [financial, sexual, and criminal]). They also protect clients from neglect (failure to provide goods and services necessary to avoid physical and psychological harm). |
| <ul style="list-style-type: none"> • Staff does not use physical, verbal, sexual, or psychological abuse or punishment. |
| Screen: |
| <ul style="list-style-type: none"> • The facility does not employ people with a conviction or prior employment history of child and client abuse, neglect, or mistreatment. |
| Identify: |
| <ul style="list-style-type: none"> • The facility reports all allegations of mistreatment, neglect, or abuse. It immediately reports all injuries of unknown source to the administrator and to Adult Protection Services. |
| <ul style="list-style-type: none"> • The facility has evidence that all allegations of mistreatment, neglect, or abuse are thoroughly investigated. |
| Protect: |
| <ul style="list-style-type: none"> • The facility prevents further potential abuse while investigation is in progress. |
| Report and Investigate: |
| <ul style="list-style-type: none"> • The facility must report the results of all investigations to the administrator and Adult Protection Services within five working days of the incident. |

Facility:
 Date:
 Surveyor:

Abuse, Neglect and Mistreatment Detection
 Intermediate Care Facility/Individuals with Intellectual Disabilities

| |
|---|
| Respond: |
| <ul style="list-style-type: none"> • The facility must take appropriate corrective action on all substantiated allegations. |
| Train: |
| <ul style="list-style-type: none"> • The facility must provide staff training with a focus on skills and competencies of all actions directed towards clients. |
| Conduct Between Staff and Clients: |
| <ul style="list-style-type: none"> • Promotes growth, development, and independence • Addresses client choice in daily decision making and emphasizes self-determination and self-management • Specifies allowed and disallowed conduct • Reflects that clients are not allowed to discipline each other (except under a system of self-management) |
| Management of Inappropriate Behavior: |
| <ul style="list-style-type: none"> • All interventions utilized by the facility are included in the policy (compare to actual programs). • Designates interventions in a hierarchy (from least to most restrictive) • Uses procedures to ensure facility uses least restrictive interventions until there is sufficient justification and documentation to demonstrate they are ineffective • Identifies staff who may authorize the use of specific interventions • Employs a mechanism for monitoring and controlling the use of interventions • Has an outline of the safeguards, level of supervision, and Human Rights Committee (HRC) involvement that will be utilized to ensure rights are protected • Policy covers each of the following: <ul style="list-style-type: none"> ○ Time-out rooms ○ Physical restraint ○ Painful and/or noxious stimuli ○ Drugs |