**ICF/IID Regulations Using Tag Identifiers (Rev 1/14/10)**

**Shading indicates tags reviewed during federal surveys**

**440.150 ICF/IID SERVICES**

W100 Facility services meet ICF/IID definition

W101 Facility meets State licensing requirements

**483.410 GOVERNING BODY & MANAGEMENT**

W102 Outside services meet needs of clients

W103 Facility identifies governing body (GB)

W104 GB policy exercise/budget/direction over the facility

W105 GB sets qualifications for admin & facility

W106 GB sets qualifications for admin & facility

W107 Compliance with health laws

W108 Compliance with safety laws

W109 Compliance with sanitation laws

W110 Develop & maintain a record for every client

W111 Record documents client care, treatment & rights

W112 Client record information kept confidential

**483.420 CLIENT PROTECTIONS**

W113 P&P governing release of info & consent

W114 Entries in records legible, dated & signed

W115 Legend: symbols & abbreviations in record

W116 Residential staff has access to each client’s records

W117 Written agreement with outside services

W118 Agreement

W119 -- Assures outside srvcs. meet Federal stds. of care

W120 Facility responsible for Physical Environment

W121 Facility responsible for Physical Environment

W122 Client权利 & use possessions & clothing

W123 -- Compensated if working for facility

W124 Client rights & use possessions & clothing

W125 -- Participate in social, religious, community actvts.

W126 -- Private communication ensured

W127 -- Ensured privacy during care & treatment

W128 -- Free from unnecessary drugs, physical restraints

W129 -- Provided with personal privacy

W130 -- Provided with personal privacy

W131 -- Dress in own clothes each day

W132 -- Compensated if working for facility

W133 -- Can send & receive unopened mail

W134 -- Can send & receive unopened mail

W135 -- Has at least 1 year’s experience

W136 -- Is a doctor of medicine or osteopathy

W137 -- Has at least 1 year’s experience

W138 -- Includes written plan describing the client’s needs

W139 -- Includes written plan describing the client’s needs

W140 Full accounting of clients' personal funds

W141 No conmilling of client funds

W142 Financial record available on request

W143 Facility develops & implements P&P prohibiting

W144 Facility develops & implements P&P prohibiting

W145 Staff availability

W146 Visits by parents to direct care services consistent

W147 Frequent, informal leaves from facility

W148 Parents, guardian notified of incidents or changes in

W149 Facility communicates w/ clients, family & friends

W150 No staff assigned to treat mental health

W151 No withholding food & hydration as punishment

W152 Employment prohibited if history of abuse

W153 Employment prohibited if history of abuse

W154 Alleged violations investigated thoroughly

W155 -- Retain & use possessions & clothing

W156 -- Participate in social, religious. community actvts.

W157 -- Private communication ensured

W158 -- Ensured privacy during care & treatment

W159 -- Provided with personal privacy

W160 -- Has at least 1 year’s experience

W161 -- Is a doctor of medicine or osteopathy

W162 -- Or registered nurse

W163 -- Or has BAB/S in specified category

W164 Clients receive needed professional program svcvs.

W165 Professional program Staff must

W166 -- Work w/ paraprofessional, nonprofessional & other

W167 -- Are sufficient in number to implement interventions

W168 -- Participate as members of interdisciplinary team

W169 -- Receive other agencies encouraged

W170 -- Are licensed as applicable in State

W171 Occupational therapist certification

W172 Occupational therapy assistant eligibility

W173 Physical therapist certification

W174 Physical therapy assistant eligibility

W175 Psychologist qualification: MA/MS (minimum)

W176 Social work qualification: MSW/BSW (minimum)

W177 Speech language hearing certification

W178 Professional recreation staff qualifications

W179 Professional dietitian eligibility

W180 Human services professional qualifications

W181 IPP successfully implemented by staff

W182 Not dependent on clts or volunteers for care services

W183 16 clients: staff on duty 24 hrs.

W184 ≤16 clients: staff on duty 24 hrs

W185 Direct care staff not be diverted from their duties to

W186 Direct care staff not be diverted from their duties to

W187 Staff availability

W188 Staff available 24 hours, when clts. in living unit

W189 Employee training provided

W190 Training on clients’ developmental needs

W191 Training on clients’ developmental needs

W192 Training on clients’ health needs

W193 Staff manage inappropriate client behavior

W194 Staff implement client program plans

W195 Training program specifies:

W196 AT program for each client

W197 No AT for independent clients

W198 Clients are in need of & receiving AT

W199 Admission based on preliminary evaluation

W200 Eval. must assess client needs and ability to benefit

W201 Transfer or discharge for good cause

W202 Reasonable time to prepare clt. for transfer, discharge

W203 Final summary of status at time of transfer, discharge

W204 Copies of summary provided to agencies with Client

W205 Post-d/c plan of care provided to new living environment

W206 IPP developed by appropriate IDT

W207 Staff participates in interdisciplinary team meetings

W208IPP developed by appropriate IDT

W209 Participation by client, parent or guardian required

W210 Assessments performed within 30 days

W211 Comprehensive Functional Assessment (CFA):

W212 -- Takes client’s age into consideration

W213 -- Identifies problems, disabilities & causes

W214 -- Identifies developmental & behavioral needs

W215 -- Identifies need for services

W216 -- Includes physical development & health

W217 -- Includes nutritional status

W218 -- Includes sensorimotor development

W219 -- Includes affective development

W220 -- Includes self-mgmt

W221 -- Includes auditory functioning

W222 -- Includes cognitive development

W223 -- Includes social development

W224 -- Includes adaptive behaviors or ind. living skills

W225 -- Includes vocational skills as applicable

W226 -- Includes individual Program plan (IPP)

W227 -- Includes planned sequence dealing with objectives

W228 -- Includes planned sequence dealing with objectives

W229 Objectives must be:

W230 -- For single behavior outcome

W231 -- Provide measurable indices of performance

W232 -- Reflect appropriate developmental progression

W233 -- Person responsible

W234 -- Type & frequency of data collect to assess progress

W235 -- Include location of program information

W236 -- Reason for physical restraints

W237 -- Reasons for mechanical supports

W238 -- Situations for use of supports

W239 -- Appropriate/replace behavior to elicit

W240 -- Include location of program information

W241 -- Include location of program information

W242 -- Include opportunities for client choice and self-mgmt

W243 -- Describe relevant interventions to support clt move

W244 -- Appropriate/replace behavior to elicit

W245 -- Include location of program information

W246 -- Address when client is regressing, losing skills

W247 -- Addresses clients who fail to progress

W248 A copy of the IPP is available to all relevant staff

W249 AT program implemented when IPP formulated

W250 -- Individual Program Plan must:

W251 -- IPP implemented by appropriate staff

W252 -- Include progress in measurable terms

W253 Documented: significant events relative to IPP

W254 Documented: significant events relative to IPP

W255 IPP reviewed -- when considered for new training

W256 IPP reviewed -- when considered for new training

W257 Comprehensive functional assessment reviewed

W258 Comprehensive functional assessment reviewed

W259 -- Ensure the use of: time-out rooms

W260 -- Ensure the use of: time-out rooms

W261 -- Reasonable time to prepare clt. for transfer, discharge

W262 -- Reasonable time to prepare clt. for transfer, discharge

W263 -- Ensure restrictive programs conducted with written

W264 -- Staff available by telephone, when clts. in living unit

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